

NSE/COMP/1125/2023-1/14963

January 17, 2023

TO WHOMSOEVER IT MAY CONCERN

Dear Sir/Madam,

Subject : Status report in respect of BHARATHI SHARE BROKING PRIVATE LIMITED

With reference to your application regarding Membership of Other Exchanges, we would like to inform that your status as per the Exchange records as on 16-JAN-2023 is as follows:

Particulars	Details	
Segment	SEBI Registration No.	Date of Enablement
Currency Derivatives	INZ000282730	04-SEP-2013
Debt	INZ000282730	13-MAY-2013
Capital Market	INZ000282730	12-JUL-1996
Futures and Options	INZ000282730	12-DEC-2012
Dues pending (Dues that are more than Rs. 1,00,000 in value and overdue for more than one month)	NSE : Rs. NIL NSCCL : Rs. NIL	
Investor Grievance cases pending (number and value) #	Number: NIL Rs. NIL	
Arbitration in progress (number and value) *	Number: NIL Rs. NIL	
Arbitration awards in favour of investors pending implementation /challenged in court *	Number: NIL Rs. NIL	
Disciplinary Action taken by the Relevant Authority of the Exchange (in last one year) other than reprimand/advise/warning *	Penalty/Fine: Rs. NIL	
Whether suspended during the last 3 years	NO	

Yours faithfully,

For National Stock Exchange of India Limited

Authorized Signatory

Disclaimer: Please note that the above information is given based on the records available with the Exchange and shall not be construed as making any recommendation in any manner.

** Value exceeding Rs. 2, 00,000 are only covered.*

Unresolved complaints exceeding 60 days and total amount more than Rs.10 lacs or unresolved complaints exceeding 60 days and more than 10 complaints or unresolved against the trading member for more than 3 months.